ON THE MECHANISM OF THE REACTION OF TRIPHENYLPHOSPHINE-CARBON TETRACHIORIDE WITH ALCOHOLS, ACIDS AND ENOLIZABLE KETONES

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Triphenylphosphine with carbon tetrachloride or tetrabromide has found widespread use as a reagent for conversion of alcohols, acids and amide derivatives into the corresponding halides, nitriles and carbo imide derivatives. The mechanism of these reactions has been interpreted, e.g., in terms of eq 1 involving the initial formation of interchangeable ion pairs that react directly with ROH, giving CHCl₃ and oxophosphonium salt which subsequently

$$Ph_{3}P + CC1_{4} \longrightarrow [Ph_{3}P^{+}C1 \quad ^{-}CC1_{2} \longrightarrow Ph_{3}P^{+}CC1_{3} \quad ^{-}C1]$$

$$\downarrow ROH \qquad (1)$$

$$Ph_{3}PO + RC1 \longleftarrow [Ph_{3}POR]^{+}C1^{-} + CHC1_{3}$$

decomposes into Ph₃PO and RCl. Contrary to this scheme, formation of [Ph₃PCH₂Cl]⁺Cl⁻ (1) was observed in the course of preparation of A-halovinyl ketones from 1,3-dioxo compounds in quantities corresponding to approx. 1/3 of the Ph₃P introduced. This product was identified by elemental analysis, 1H and 13C nmr data and comparison with an authentic sample Formation of 1 was recently reported also by Appel et al?. in the reaction of the "Dreikomponentensystem" (Ph₃P - CCl₄ - amine) with amide derivatives.

In view of these facts we felt that interpretation based on eq 1 is erroneous even for conversions apparently studied in much detail. Reexamination

Starting Material: X=0H Product: X=Cl	Yiel %		≧ ^b	1 ^c % ^d
	· ·			
	62 (1)		31 (94)
0 ×	82 (1	•5)		32 (97)
x	57 (1)		29 (87)
\bigvee	89 (1	•5)		31 (94)
CH ² CH(PP)CH ² CH ² X	74 (1)		25 (75)
PhCOX	71 (1)		33 (100)
	86 (1	•5)		33 (100)
CH3COCH=C(X)CH3	62 (1) 30	(76)	5
0 e	75 (1	.5) 31	(77)	5
	(1) 26	(72)	6-8

a Distilled poructs. Numbers in parentheses refer to moles Ph₃P per 1 mole substrate. b Mp 216-8° (dec). Anal. Calcd for C₁₉H₁₆Cl₃P: Cl, 27.86. Found: Cl, 27.64. c Mp 256-60° (dec), lit. 260-1° (dec), 216° (dec). Anal. Calcd for C₁₉H₁₇Cl₂P: Cl, 20.42. Found: Cl, 20.81. dyields after recrystallization. Percentage relative to theoretical in parentheses. e Products of not identified.

of the typical experiments (see Table) revealed that in each case the conversion was accompanied by formation of either 1 or [Ph₃CHCl₂]⁺Cl⁻ (2). These salts were invariably separated as white crystalline precipitates⁸. Formation of 1 and 2 is compatible with the sequence of consecutive steps (eq 2-4) competing with process 1.

$$2\text{Ph}_{3}\text{P} + \text{CCl}_{4} \longrightarrow \text{Ph}_{3}\text{PCl}_{2} + \text{Ph}_{3}\text{P=CCl}_{2} \xrightarrow{\text{ROH}} \text{RCl} + \text{Ph}_{3}\text{PO} + \underline{2}$$
 (2)

$$\underline{\underline{2}} + Ph_{\underline{3}}P \longrightarrow Ph_{\underline{3}}PCl_{\underline{2}} + Ph_{\underline{3}}P=CHCl \xrightarrow{ROH} RCl + Ph_{\underline{3}}PO + \underline{\underline{1}}$$
 (3)

$$\underline{1} + Ph_{3}P \longrightarrow Ph_{3}PCl_{2} + Ph_{3}P=CH_{2} \xrightarrow{ROH} RCl + Ph_{3}PO + (Ph_{3}PCH_{3})^{+}Cl^{-}$$
(4)

This mechanism is analogous to that proposed by Appel et al. of the reaction of amides with this reagent. However, their statement concerning the equal contribution of the competitive routes is not borne out by our results: less than 5% of the theoretical CHCl₃ required by eq 1 was detected by means of gas chromatography and 1H nmr analysis in the reaction mixture run with dimedone, whereas, accordingly, more than 95% of 1 was isolated preparatively. The negligible role of process 1 in the conversion of other compounds was attested by similarly high yields of phosphonium salt 1 or 2.

Further evidence in support of this interpretation are provided by the following observations:

- i. An increase of the phosphine to substrate molar ratio from 1 to the value required by the stoichiometry of processes 2 and 3 resulted in a 15 to 30% increase in the yield of the halides.
- ii. Because of the low solubility of 1 in CCl4 and the substantial consumption of phosphine in preceding steps, contribution of process 4 to the overall reaction is expected to be generally low. Its occurence 11, however, was demonstrated in separate experiments by boiling 1 with equimolar Ph3P and cyclohexanol in CHCl3. The reaction was complete in 4 hours and gave $[Ph_3PCH_3]^+Cl^-$ (2) (92), Ph_3PO (90), and cyclohexyl chloride (82%). Similarly, 2, Ph_3P and cyclohexanol (molar ratio 1 : 2 : 2) yields 3 (82), Ph_3PO (91), and cyclohexyl chloride (76%). In a blank experiment with Ph_3P and cyclohexanol in CHCl3 the unchanged starting materials were recovered after 8 hours.

Formation of 2 in reactions with less reactive molecules (cyclopentanone, acetylacetone) may be rationalized by assuming that the formation rate of [Ph₃PCl₂ + Ph₃P=CCl₂] exceeds its consumption rate in consecutive steps. Under these conditions, due to the lack of available phosphine, process 3 can in principle not take place and, according to eq 2, the conversion gives 2, rather than 1.

References

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- (5) ¹H nmr (CDCl₃, 100.1 MHz): $\boldsymbol{\delta}_{TMS}^{h}$ (1) 6.54 (d, 5.6 Hz, 2H), 7.6 8.1 (m, 15H); (2) 7.6 8.25 (m, 15H), 10.85 (d, 3.2 Hz, 1H). ¹³C nmr (CDCl₃, 25.16 MHz); $\boldsymbol{\delta}_{TMS}^{C}$ (1) Spectral parameters are identical with published values ¹²; (2) 61.8 (d, 56.7 Hz, off.res.: 2d, P⁺CHCl₂), 115.4 (d, 87.6 Hz, C-P⁺), 135.0 (d, 10 Hz, C⁰), 130.5 (d, 12.6 Hz, C^m), 136.0 (d, 2.5 Hz, C^p).
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